

10700 BREN ROAD WEST MINNETONKA, MN 55343 MAIN PHONE: 952-930-6000

### **FACSIMILE**

DATE:	6-8-05	PAGES INCLUDING 2 10	
TO:	Examiner Raginese	FROM:	Jose Jenenez
COMPANY:	U	SENDER'S PHONE:	952-930-6/35
FAX:		SENDER'S FAX:	952-930-6503
SUBJECT:	S/N 09/812,70	4	

Confidentiality Notice: This message and its attachments may contain confidential Information intended only for the use of the addressee(s) and may contain information that is legally privileged. If you are not the addressee, you are hereby notified that reading, disseminating, distributing or copying this facsimile is strictly prohibited. If you have received this facsimile in error, please inform us immediately by telephone and we will arrange for the return of the original document(s) to us.

Patent

Docket No: 687-442

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Morningstar, Randy L.

Group Art Unit: 3743

Serial No.: 09/872,704

Filed: June 1, 2001

Examiner: Andrea Ragonese

For:

Implantable Medical Balloon and Method of Making

#### CERTIFICATE OF TRANSMISSION

I hereby certify that this correspondence is being facsimile transmitted to the Commissioner for Patents, Fax No. (571) 273-4804 to the attention of Examiner Andrea Ragonese in Art Group Unit 3700 (9 pages).

June 🖁 , 2005

Signature: Linda K.

Date

#### <u>RESPONSE TO ORDER RETURNING UNDOCKETED APPEAL TO EXAMINER</u>

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

This is in response to the undated "Order Returning Undocketed Appeal to Examiner" (postmarked May 18, 2005) received in our office on May 20, 2005 (Exhibit A attached). Also enclosed is the "Artifact Sheet" (Exhibit B) (printed from the USPTO On-line Public PAIR System) that was erroneously included in the file history for S/N 09/872,704.

Applicants called Examiner Ragonese on 6/2/05 to clarify that the "Artifact Sheet" identifies a different serial number (S/N 10/872,704 2A). Applicants hereby acknowledge that no artifact or disk was submitted with the filing of this application. The reference to the artifact sheet of 06/01/01 in the Public PAIR System (Exhibit C) is in error and correction is respectfully requested. Applicants believe that this matter is now in order and respectfully request that this application and matter be returned to the Board of Appeals and Interferences for further consideration.

No fee is believed due for the submission of this response. If this is in error, applicants respectfully request and petition that any fee required for the timely submission of this response be charged to Deposit Account No. 501921.

The Examiner is encouraged to call the Applicants should any questions arise from this response.

Registration Number 31,113 Telephone Number 952 930-6135

Date June \$ ,2005

AMS Research Corporation 10700 Bren Road West Minnetonka, Minnesota 55343 952 930 6135 Respectfully submitted

Jose' W. Jimenez, Esq.

Exhibit A-Pall

RECEIVED

MAY 2 0 2005

UNITED STATES PATENT AND TRADEMARK OFFICE

AMS Research Corp.

BEFORE THE BOARD OF PATENT APPEALS
AND INTERFERENCES

Ex parte RANDY L. MORNINGSTAR

Application No. 09/872,704

ORDER RETURNING UNDOCKETED APPEAL TO EXAMINER

This application was electronically received at the Board of Patent Appeals and Interferences (hereinafter the "Board") on March 1, 2005. A review of the application has revealed that the application is not ready for docketing as an appeal. Accordingly, the application is herewith being returned to the examiner. The matters requiring attention prior to docketing are identified below:

On March 2418, 2005, Carolyn Moskey, Paralegal Specialist for the Board, submitted, via electronic mail, a Request for Artifact to Tech Center 3700 (Paper Match 1700). The artifact consists of a disk and was requested by the Board no later than March 30, 2005. To date, the artifact has not yet been received by the Board.

### Exhibit A- pg. 2

Application No. 09/872,704

Accordingly, it is

ORDERED that the application is returned to the examiner to obtain the required artifact, deliver said artifact to the Board, and for such further action as may be appropriate.

> BOARD OF PATENT APPEALS AND INTERFERENCES

Ву:

CRAIG R. FEINBERG

Program and Resource Administrator

(571) 272-9797

Jeffrey J. Hohenshell cc: American Medical Systems 10700 Bren Road West Minnetonka, MN 55343

CRF/clm/meh

AN EQUAL OPPORTUNITY EMPLOYER.

American Medical Systems Minnetonka, MN 55343 10700 Bren Road West Jeffrey J. Hohenshell

thinking the transfer that the theology

Organization: BPAI Bldg./Rm.: MDE 09B55-A Jnited States Patent and Trademark Office

### BEST AVAILABLE COPY

# Exhibit B-pg.1

### ARTIFACT SHEET

Enter artifact number below. Artifact number is application number + artifact type code (see list below) + sequential letter (A, B, C...). The first artifact folder for an artifact type receives the letter A, the second B, etc.. Examples: 59123456PA, 59123456PB, 59123456ZA, 59123456ZB

10/872 7047A. Indicate quantity of a single type of artifact received but not scanned. Create individual artifact folder/box and artifact number for each Artifact Type. CD(s) containing computer program listing Doc Code: Computer Artifact Type Code: P Stapled Set(s) of Extra Color Drawings/Photographs Doc Code: Artifact Artifact Type Code: C CD(s) containing pages of specification and/or sequence listing Doc Code: Artifact Artifact Type Code: S CD(s) with content unspecified Doc Code: Artifact Artifact Type Code: U Microfilm(s) Doc Code: Artifact Artifact Type Code: F Video tape(s) Doc Code: Artifact Artifact Type Code: V Model(s) Doc Code: Artifact Artifact Type Code: M Bound Document(s) Doc Code: Artifact Artifact Type Code: B Other, description: Doc Code: Artifact 06/26/2003

# Exhibit C -pg. 1

Printer Friendly

09/872,704 Implantable medical balloon and method of making

Image File Wrapper

Mail Room Date	Document Description	Page Count
05-18-2005	Order Returning Undocketed Appeal to the examiner from BPAI	2
05-24-2004	Power of Attorney (may include Associate POA)	3
05-21-2004	Power of Attorney (may include Associate POA)	1
05-17-2004	Examiner's Answer to Appeal Brief	6
04-14-2004	Appeal Brief Filed	24
03-03-2004	Advisory Action (PTOL-303)	3
03-03-2004	List of References cited by applicant and considered by examiner	1
02-23-2004	Notice of Appeal Filed	1
02-17-2004	Amendment After Final	· 2
02-17-2004	Applicant Arguments or Remarks Made in au Amendment	3
11-18-2003	Final Rejection	10
11-18-2003	List of References cited by applicant and considered by examiner	3
10-27-2003	Information Disclosure Statement (IDS) Filed	3
10-14-2003	Amendment - After Non-Final Rejection	1
10-14-2003	Applicant Arguments or Remarks Made in an Amendment	3
08-13-2003	Information Disclosure Statement (IDS) Filed	2
08-13-2003	Foreign Reference	36
08-13-2003	Forcign Reference	
08-13-2003	Foreign Reference	22
08-13-2003	Foreign Reference	40
07-24-2003	Non-Final Rejection	10
	List of references cited by examiner	1
7-22-2003	Examiner's search strategy and results	12

# Exhibit C-pg. 2

1	•	
07-07-2003	Amendment - After Non-Final Rejection	1
07-07-2003	Applicant Arguments or Remarks Made in an Amendment	2
04-03-2003	Non-Final Rejection	13
04-03-2003	List of references cited by examiner	1
03-24-2003	Examiner's search strategy and results	9
03-07-2003	Amendment - After Non-Final Rejection	2
03-07-2003	Specification	1
03-07-2003	Drawings	2
03-07-2003	Claims	1
03-07 <b>-</b> 2003	Applicant Arguments or Remarks Made in an Amendment	5
03-07-2003	Drawings	3
03-07-2003	Information Disclosure Statement (IDS) Filed	2
03-07-2003	NPL Documents	20
03-07-2003	Foreign Reference	42
03-07-2003	Foreign Reference	36
03-07-2003	Foreign Reference	24
03-07-2003	NPL Documents	8
03-07-2003	NPL Documents	8
03-07-2003	NPL Documents	18
02-03-2003	Communication - Re: Power of Attorney (PTOL-308)	2
01-21-2003	Power of Attorney (may include Associate POA)	4
12-04-2002	Non-Final Rejection	12
12-04-2002	List of references cited by examiner	2
11-19-2002	Examiner's search strategy and results	7
10-21-2002	Response to Election / Restriction Filed	3
09-24-2002	Requirement for Restriction/Election	6
07-08-2002	Power of Attorney (may include Associate POA)	1
07-08-2002	Change of Address	1
10-03-2001	Transmittal letter	3

## Exhibite-pg. 3

•			1
10-03-2001	Drawings	3	
10-03-2001	Miscellaneous Incoming Letter	3	
10-03-2001	Oath or Declaration filed	2 .	
08-02-2001	Miscellaneous Action with SSP	2	1
06-01-2001	Transmittal letter	3	
06-01-2001	Specification	13	
06-01-2001	Claims	14	
06-01-2001	Abstract	1	
06-01 <b>-</b> 2001	Drawings	3	
06-01-2001	Oath or Declaration filed	4	
06-01-2001	Issue Information including classification, examiner, name, claim, renumbering, etc.	1	
06-01-2001	Search information including classification, databases and other search related notes	1	
06-01-2001	Index of Claims	1	
06-01-2001	Transmittal letter	3	
06-01-2001	Drawings	3	ł
06-01-2001	Specification	13	Í
06-01-2001	Claims	14	
06-01-2001	Abstract	1	
06-01-2001	Oath or Declaration filed	4	}
06-01-2001	Fee Worksheet (PTO-875)	Ī	
06-01-2001	Fee Worksheet (PTO-875)	1	1
06-01-2001	Claims Worksheet (PTO-2022)	1	
06-01-2001	Artifact sheet indicating an item has been filed which cannot be scanned	1	

Close Window